

1 **Ground Motion Prediction Equations for Application to the 2015 Canadian National Seismic**
2 **Hazard Maps**

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8
9 **Abstract**

10
11 Ground-motion prediction equations (GMPEs) and their epistemic uncertainty are a key input to seismic
12 hazard assessments, because the GMPEs specify the expected ground-shaking amplitudes as a function
13 of magnitude and distance. We describe a simple and efficient approach to the definition of GMPEs and
14 their epistemic uncertainty for use in seismic hazard mapping in Canada. The approach defines a lower,
15 central, and upper GMPE for each type of event (eastern crustal, western crustal, interface, in-slab,
16 offshore) that contributes to the hazard, by considering alternative published GMPEs and data that may
17 be used to constrain these model choices. The proposed model is being applied in trial seismic hazard
18 maps for Canada, for consideration in the 2015 edition of the National Building Code of Canada
19 (NBCC2015).

20
21 **Introduction**

22
23 This paper summarizes a model for specifying ground-motion prediction equations (GMPEs) and their
24 epistemic uncertainty, as proposed for use in new national seismic hazard maps of Canada currently
25 under development by the Geological Survey of Canada (Adams, 2011). The GMPEs, giving median
26 ground motion amplitudes as a function of magnitude and distance, are a key component of the seismic
27 hazard maps in terms of their impact on results. Thus the choice of the GMPEs for input to the seismic
28 hazard mapping program is very important. Equally important is the range of alternative models used to
29 capture epistemic uncertainty in the median predicted ground motions for a given magnitude and
30 distance, expressing a subjective evaluation of the limitations of our current knowledge. This range has
31 important implications for the calculated ground-motion values which are intended for use in

32 NBCC2015. The method used here may be generally applicable for national seismic hazard maps
33 (where a large number of possible GMPEs need to be represented by a few alternatives to reduce
34 computational time) or for site-specific-seismic hazard analyses where simple weighted combinations of
35 available GMPEs are judged to be inadequate to capture the epistemic uncertainty.

36

37 The recommendations contained herein were prepared for use in new national seismic hazard maps,
38 being developed at the Geological Survey of Canada, based on ongoing discussions within the seismic
39 hazard working group of the Canadian Standing Committee on Earthquake Design (members of this
40 development group are listed in the Acknowledgements). Further documentation, including many
41 exploratory plots and additional details, can be found in Atkinson (2012).

42

43 This study was motivated by the need to update the GMPEs used in the last national seismic hazard
44 maps (see Adams and Halchuk, 2003; these GMPEs included Boore et al., 1997, Youngs et al., 1997 and
45 Atkinson and Boore, 1995) to reflect the last 15 years of developments in the ground-motion field.
46 During this time period, the databases on which GMPEs are based have grown many-fold, and thus the
47 changes in knowledge have been significant.

48

49 We overview the GMPEs proposed for use in eastern Canada (crustal events), for crustal earthquakes in
50 western Canada, for earthquakes offshore of western Canada, and for the two types of subduction zone
51 earthquakes in southwestern British Columbia (B.C.) , those within the subducted slab (inslab) and those
52 great earthquakes on the plate interface. The median GMPEs and alternatives to them are discussed
53 separately from the issue of the appropriate ‘sigma’ (standard deviation about the median), which
54 follows the discussion of the median equations. It is noted that the proposed model will be
55 implemented for trial hazard map calculations, and the sensitivity of those calculations to alternative
56 approaches to modeling GMPEs and their epistemic uncertainty will also be investigated; those
57 investigations will be reported in a separate study.

58

59 As a prelude to the principles below, we note that epistemic uncertainty in median GMPEs has often
60 been modeled by the use of alternative equations (typically those derived by various authors), with
61 model weights being used to represent the relative confidence in each alternative. However, this is not
62 necessarily the best way to model epistemic uncertainty in GMPEs (see Bommer and Scherbaum, 2008;

63 Atkinson, 2011). To the extent feasible, we prefer to use the alternative GMPEs and applicable data to
64 guide the choice of a representative or “central” GMPE, and to define representative (upper and lower)
65 GMPEs that express uncertainty about the central GMPE. We believe this approach offers more
66 flexibility in expressing uncertainty in knowledge of the correct median GMPE than any weighted
67 combination of the available GMPEs. We note that a similar approach was used for eastern ground
68 motions in NBCC2005 and 2010 (Atkinson, 1995) while a simplified approach following the same
69 general philosophy was used for the western crustal ground motions (see Adams and Halchuk, 2003).

70
71 The use of representative GMPEs rather than a weighted combination of alternative GMPEs is
72 undoubtedly the most controversial aspect of the GMPE models we propose herein. This has been a
73 hotly-debated topic at recent workshops and conferences (e.g. 2012 U.S. Geological Survey workshops
74 on the 2014 hazard maps in the U.S., and 2012 workshops on specific industry projects), and there is no
75 clear consensus. Different approaches have different advantages and disadvantages. Proponents of the
76 alternative GMPE approach argue that use of multiple models with alternative functional forms is
77 required in order to properly capture uncertainties in form as well as amplitudes, whereas the use of the
78 representative GMPE approach involves arbitrary judgments concerning the best central model and its
79 uncertainty. On the other hand, the representative equation approach employed here allows explicit
80 judgments to be exercised regarding magnitude and distance scaling and the extent to which the selected
81 models will satisfy data constraints that are important to the project; moreover, it allows control over
82 how both the median GMPEs and their uncertainty will behave across regions and event types. Thus we
83 can ensure that the epistemic uncertainty is larger in regions with poorer data, for example, regardless of
84 whether alternative published GMPEs coincidentally happen to be similar. Furthermore, the
85 representative GMPE approach has flexibility to accommodate important points that cannot be properly
86 handled with the weighted-alternative GMPE approach. For example, many GMPEs are appropriate for
87 some but not all of the magnitude-distance ranges needed, and are therefore not reasonable for general
88 application (e.g. only two of four recent crustal GMPEs from the PEER-NGA suite are suitable for
89 small-to-moderate magnitudes, and at regional distances needed for hazard calculations in western
90 Canada). Finally, the representative equation approach has significant practical utility, enabling a
91 complex problem to be represented by a minimum number of branches for hazard calculations, which is
92 efficient and transparent. Admittedly, there is a large degree of judgment exercised regarding the
93 selection of the central model and its upper and lower branches, and this exerts significant influence on

94 the hazard results. However, such subjective judgments are equally important when using the
95 alternative-GMPE approach, as the selection and weighting of alternative models is also a process based
96 on subjective judgment. Ultimately, it is important to document the rationale for the approach taken,
97 which is provided herein. Furthermore, we note we have performed numerous sensitivity tests to show
98 that the GMPE approach we have taken produces similar results to the weighted-alternative GMPE
99 approach, if the utilized information on available GMPE choices is treated consistently; it is the GMPE
100 models and weights that are important, not the mechanics of how they are treated. These sensitivity
101 tests are described in Atkinson (2012, Appendix B).

102

103 The underlying principles for the GMPEs that are proposed herein are as follows:

- 104 1. Median GMPEs should be selected from published (or peer-reviewed) equations.
- 105 2. The GMPEs will be given for a reference site condition ($V_{s30}=760$ m/s, where V_{s30} is the time-
106 averaged shear-wave velocity in the top 30 m). Models not available for B/C will be converted
107 to an equivalent model for B/C.
- 108 3. The magnitude measure for the GMPEs is moment magnitude (**M**), and the GMPEs will be used
109 with a revised Canadian earthquake catalog where various local magnitude values have all been
110 converted to estimated **M**.
- 111 4. A variety of distance metrics may be used in the GMPEs. Point-source metrics may include R_{epi}
112 (epicentral distance) and R_{hypo} (hypocentral distance). Corresponding fault-distance metrics are
113 R_{jb} (Joyner-Boore distance, based on distance to surface projection of rupture plane), and R_{cd}
114 (closest distance to fault rupture surface), respectively. Fault-distance metrics may be converted
115 to an equivalent point-source metric in the hazard software when needed (the need is software
116 dependent); examples of such conversions are provided by Atkinson and Goda (2011) and
117 Atkinson (2012).
- 118 5. Epistemic uncertainty in median GMPEs will be modeled by the use of alternative equations, as
119 discussed above.
- 120 6. It is proposed for logistical convenience that a set of three alternative-weighted GMPEs will be
121 used to describe the epistemic uncertainty; this includes a “lower”, “central” and “upper”
122 GMPE, where each of the three is an alternative estimate of the median ground-motion
123 amplitudes. Each alternative is given a specified weight for use in the hazard calculation (within
124 logic tree enumeration or Monte Carlo simulation software).

- 125 7. The relative performance of the models, and a check on whether they fairly represent epistemic
126 uncertainty, may be assessed by comparing the proposed GMPEs to each other, and to available
127 ground-motion data adjusted to the B/C site condition, as appropriate.
- 128 8. We make an initial estimate of epistemic uncertainty for each GMPE type or region, then revisit
129 the epistemic uncertainty across regions to ensure overall logical consistency, as well as
130 agreement with key relevant datasets.
- 131 9. The random (or aleatory) variability about the median GMPE, often referred to as sigma, is
132 treated as a separate issue from the specification of the median GMPEs and their epistemic
133 uncertainty. Note that the discussion of aleatory uncertainty (“sigma”) follows the discussion of
134 the epistemic uncertainty.

135

136 **Western crustal GMPEs**

137

138 A common assumption made for crustal earthquakes in B.C. is that their ground motions will be well
139 represented by GMPEs for other active tectonic regions, such as California. Atkinson (2005) looked at
140 this issue and concluded that, overall, observations of B.C. crustal earthquakes might be modeled (with
141 some conservatism) using typical WNA crustal equations, if differences in predominant site conditions
142 of the seismographs are accounted for – in particular the fact that much of B.C. has been glaciated while
143 California has not. Our use of B/C as a reference site condition, however, means no conversion of
144 GMPEs already defined in B/C will be required.

145

146 The suite of GMPEs currently favoured for crustal events in active tectonic regimes is the PEER-NGA
147 equations (Power et al., 2008 and the references therein), due to its extensive and high-quality database
148 (especially at the near-source distances important to hazard) from diverse active regions worldwide.
149 (Note: the PEER-NGA equations are being updated in 2012-2013, but the new equations are not yet
150 available.) A few challenges arise in using the PEER-NGA equations, some logistical and some
151 scientific:

- 152 (1) many of them involve a level of detail in the parameter specifications that goes beyond what
153 is available/reasonable for western Canada, leaving many parameters to be defined by default
154 “guesses”;

- 155 (2) it is known that these GMPEs tend to over-estimate motions from events of $M < 5.75$
156 (Atkinson and Morrison, 2009; Chiou et al., 2010; Bommer et al. 2007; Cotton et al., 2008;
157 Atkinson and Boore, 2011), but only two of the equations (BA08 and Chiou and Youngs)
158 have published corrections for this effect (Chiou et al., 2010; Atkinson and Boore, 2011) –
159 which can be important in low-to-moderate seismicity regions of B.C.;
- 160 (3) The GMPEs agree “too closely” with each other, and thus probably don’t actually convey the
161 true epistemic uncertainty in median values (Abrahamson et al., 2008; Atkinson, 2011).
162

163 To overcome these challenges, we define a three-equation suite that is based loosely on the PEER-NGA
164 equations. We use the modified (for moderate-magnitude) BA08’ equations (Atkinson and Boore,
165 2011) for “unspecified” fault mechanism as the central GMPE, as these are the simplest, and do not
166 require specification of unknown variables. We use the other PEER-NGA equations to estimate the
167 uncertainty bounds on these central equations. Figure 1 provides an example of the guidance for lower
168 and upper alternatives to be defined about the central equation to reflect epistemic uncertainty; in this
169 plot, the alternative equations of Boore and Atkinson, Abrahamson and Silva, Campbell and Bozorgnia,
170 and Chiou and Youngs are plotted for PSA at several periods, for $M=6.5$, all for B/C conditions. To put
171 the equations in an empirical perspective, the PEER-NGA data (also converted to B/C conditions, as per
172 Boore and Atkinson, 2008) are plotted in magnitude bins 0.5 units in width, and in distance bins 0.4 log
173 units in width. For the central magnitude value (e.g. 6.5), the mean and standard deviation of the log
174 amplitudes within the bin is plotted. For magnitude bins 0.25 units less or greater than the central value,
175 the means are plotted (without standard deviations, to avoid clutter); the magnitude bins have a 50%
176 overlap. A series of such plots was made to examine the magnitude-distance range that is most
177 important for hazard applications in western Canada. As noted in Abrahamson et al. (2008), the PEER-
178 NGA equations are all fairly similar, and all are reasonably (though not perfectly) constrained by the
179 data. A subjective judgment from Figure 1 (and similar figures) is that the epistemic uncertainty in
180 median equations can be reasonably modeled by adding and subtracting 0.1 to 0.15 units $\log(10)$ (25%
181 to 40%) from the BA08’ equations, to give lower and upper alternative equations, respectively. This
182 would encompass the PEER-NGA equations and most of the data constraints fairly well.

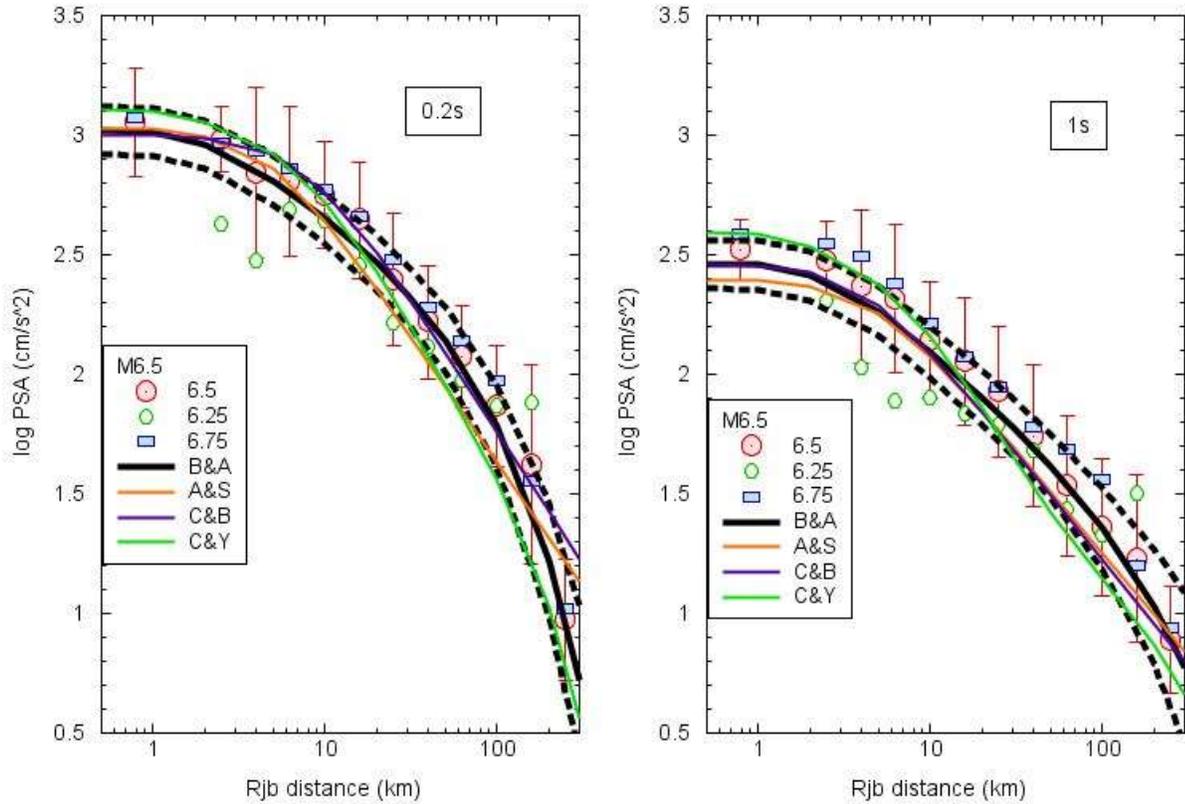
183
184 It should be noted that use of this approach does not imply a preference for the BA08’ equations – all of
185 the PEER-NGA equations have the same degree of validity. Rather, it is a convenience of application

186 that the PEER-NGA results may be encapsulated by taking BA08', the simplest of the models, as
187 representative, and using factors about it to bracket the family of GMPEs.
188 Looking carefully at GMPE plots for western crustal events (such as those shown in Atkinson (2012)) in
189 both log and linear scale, for the $M=6.5$ to 7.5 earthquakes that dominate seismic hazard in western
190 Canada, it appears that uncertainty in the central GMPE, considering the alternative GMPEs and the data
191 that constrain them, is of the order of 0.15 log units (factor of 1.4). This also takes some account of the
192 fact that we are importing a global GMPE to western Canada. Furthermore, it appears that the
193 uncertainty should grow with distance, based on the spread in the PEER-NGA equations; this is also
194 appropriate given that the NGA equations combined data from different regions, having somewhat
195 different attenuation rates. The following log factor (δ) is recommended to add/subtract from BA08'
196 to express epistemic uncertainty through lower and upper alternative relations (this is the uncertainty
197 plotted in Figure 1).

$$\delta(\text{crustal}) = \min(0.10 + 0.0007 R_{jb}, 0.3) \quad (\log_{10} \text{ units})$$

200
201 Delta is "capped" at 0.3 log units (distance ~ 280 km, at the edge of the plot) to prevent unreasonably
202 large values at greater distances. Note that the resulting total uncertainty from the lower to upper GMPE
203 is about a factor of 2 for the western crustal events. The factor of 2 should be considered a minimum
204 uncertainty for other event types, because the western crustal GMPEs are the most-widely studied, and
205 best-constrained by data. Recommended weights for the lower, central and upper alternatives for the
206 western crustal events are 0.25 , 0.5 and 0.25 , respectively.

207
208



209

210 *Figure 1 – Proposed lower, central and upper GMPEs, for M6.5 crustal events in western Canada.*

211 *Solid black line is central equation (BA08’); dashed black lines are lower and upper equations, obtained*

212 *by adding and subtracting delta from the central equation. Solid lines show other PEER-NGA*

213 *equations. Symbols show means of the log amplitudes for various 0.5 unit magnitude bins; error bars*

214 *show standard deviation for the M6.5 magnitude bin.*

215

216 **Offshore crustal events**

217

218 Atkinson (2005) examined differences in ground motion source and attenuation properties for different

219 classes of events in B.C., and found that, relative to B.C. crustal onshore events: (i) those along the west

220 coast of Vancouver Island (just offshore) showed similar apparent source properties but steeper

221 attenuation; and (ii) the events far off-shore in oceanic crust have much lower apparent source

222 amplitudes, but a similar apparent attenuation. As the offshore events are not major hazard sources, we

223 can treat these characteristics in the following approximate manner for seismic hazard analysis.

224

225 For the events along the west coast of Vancouver Island – within 50 km of land – we use the crustal
226 GMPEs. The use of crustal GMPEs will be conservative, as the actual attenuation for these events may
227 be somewhat steeper. For offshore events (>50 km offshore), we follow the recommendation of
228 Atkinson (2005) that the motions be approximated by using crustal GMPEs (and their associated
229 weights), but with a reduction of 0.5 moment magnitude units. Thus if the actual moment magnitude of
230 an offshore event is 7.0, we predict its ground motions using $M=6.5$. This is consistent with the
231 observation (Ristau et al., 2003, 2005) that moment magnitudes are larger than the commonly-used
232 Local magnitude (ML) of the catalogue. Specifically, Ristau et al. report that $M = ML + 0.7$ for
233 offshore events; we do not expect an exact equivalence between the M -ML discrepancy and the size of
234 the adjustment needed to M , because log PSA does not scale with magnitude in a 1:1 manner.

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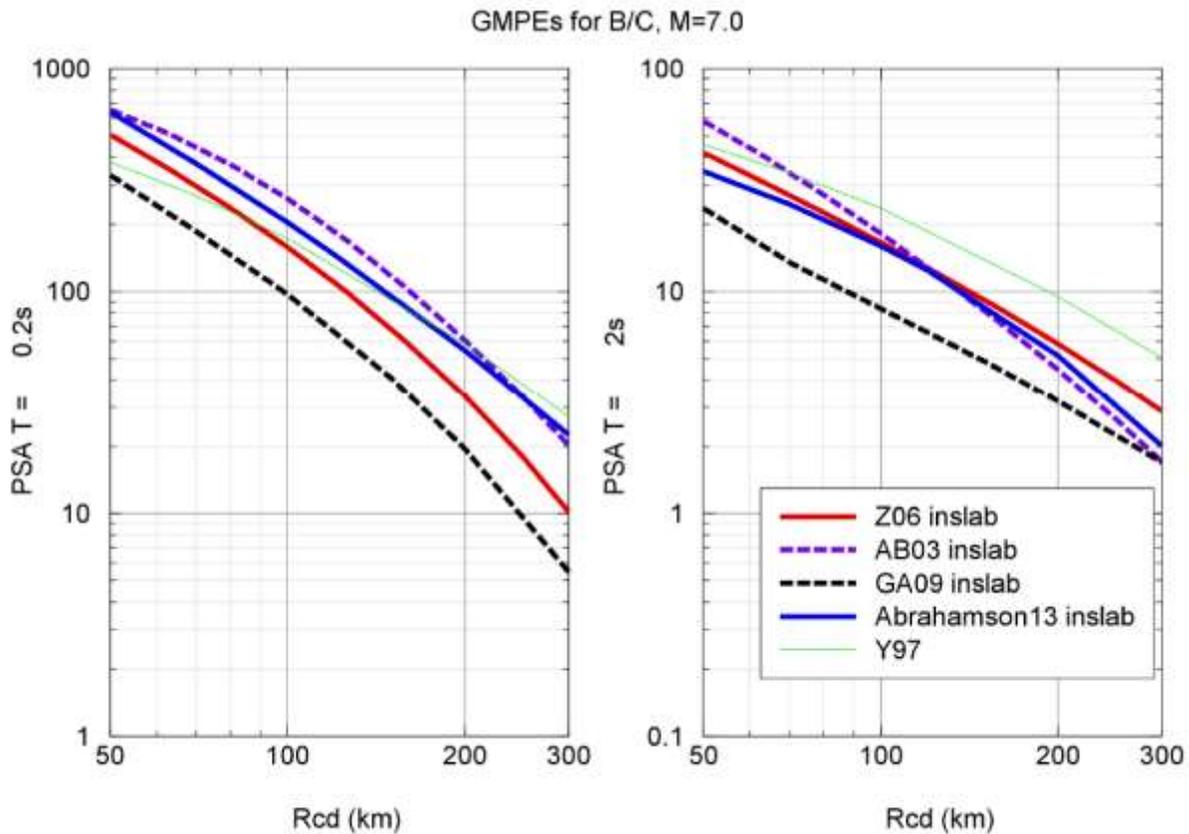
237 **Western subduction in-slab GMPEs**

238

239 Figure 2 compares several proposed GMPEs for subduction-zone in-slab events of $M=7$, including the
240 Atkinson and Boore (2003) (AB03) GMPE for in-slab events (average of rock and C values are plotted to
241 represent B/C conditions; Cascadia factor used), the Zhao et al. (2006) in-slab GMPEs for Japan (site
242 class SC I, which is similar to B/C), the Goda and Atkinson (2009) GMPEs for deep events (>30 km) in
243 Japan, and the median in-slab GMPE as developed by Abrahamson et al. (2013) (also referred to as the
244 “BC Hydro GMPE model”). The classic Youngs et al. (1997) GMPEs (used in the 2005 and 2010
245 hazard maps) are also shown for reference. Note that the attenuation rate given by the Y97 relations is
246 relatively gentle, as it was pegged to match that for interface events (due to lack of in-slab data at the
247 time the equations were developed). There appear to be large discrepancies between the alternative
248 equations, but this is at least partly due to very different site conditions amongst the datasets employed,
249 even for the same value of V_{s30} , as discussed in the next section.

250

251



252

253 *Figure 2: Comparison of alternative inslab GMPEs for M7 on B/C site: AB03 (Cascadia), Z06*
 254 *(Japan), GA09 (Japan) and Abrahamson et al., 2013 (global). Y97(inslab) shown for reference. GMPEs*
 255 *are given in cm/s^2 .*

256

257 ***Modifications of GMPEs for inslab (and interface) to account for Cascadia site conditions***

258 Most of the recent global GMPEs for inslab and interface events are dominated by Japanese data,
 259 because Japanese data are the most plentiful. It is known that shallow site conditions in Japan result in
 260 amplification of short-period motions relative to long-period motions that is not captured by the use of
 261 V_{s30} or site class. Specifically, a NEHRP C site ($V_{s30} \sim 550$ m/s) in Japan is typically a soft shallow soil
 262 site (<20 m in depth) overlying much harder rock; this is markedly different from the more gradational
 263 profiles typical of Californian recording sites. Detailed analyses of the 2011 M9 Tohoku ground
 264 motions (Ghofrani et al., 2013) have shown that site amplifications in Japan for such sites are commonly
 265 a factor of 5 or more at periods of 0.1-0.2 seconds. By contrast, site conditions in the much of the

266 Cascadia region are quite different (deeper soils), with more amplification at longer periods, but less at
267 short periods. It is reasonable and prudent to adjust the GMPEs based on Japanese data to account for
268 this factor.

269 A simple and transparent adjustment can be made based on the study by Atkinson and Casey (2003),
270 which compared motions from two **M**6.8 inslab earthquakes, the Nisqually, Washington and Geiyo,
271 Japan events, and showed that there is a period-dependent difference between the two that can be
272 attributed to different typical site conditions, within the same site class. An important point to recall
273 from the Atkinson and Casey study is that they also showed that the attenuation rates for inslab events
274 are similar for Japan and Cascadia – thus the Japan-based GMPEs are appropriate for southwestern B.C.
275 if suitable adjustments for site effects are made.

276 Atkinson and Casey showed that the discrepancies between the Geiyo and Nisqually motions disappear
277 if we remove the expected regional site effects, computed from quarter-wavelength calculations for
278 generic regional profiles for a given site class for the Nisqually event (factors in Table 2 of their paper).
279 Thus to “convert” a Japan GMPE for Class C to an appropriate equivalent for Cascadia Class C, we
280 multiply the predicted motions by a factor that is the ratio of (Cascadia NEHRP C/Japan NEHRP C).

281 An alternative approach is to use regional correction factors determined by regression analysis, such as
282 those given by Atkinson and Boore (2003). Their Table 3 shows regional factors for Japan and
283 Cascadia, which can be used to compute the ratio Cascadia/Japan, analogous to that computed by
284 Atkinson and Casey. The difference is that the Atkinson and Boore factors were based on empirical data
285 results (for Cascadia and Japan relative to the global average GMPEs), rather than computations for
286 idealized soil profiles. Table 1 compares the factors suggested for the ratio Cascadia/Japan by these two
287 alternative approaches; they are in good agreement with each other at most periods. It is proposed to
288 use the average of the two results, shown as “Recommended” in Table 1 (both multiplicative and \log_{10}
289 factors shown). Linear interpolation in log-log space can be used for intermediate periods. The
290 recommended soil correction factor damps motions for $T < 0.4s$ and amplifies motions for $T > 0.4s$. Note
291 that: (i) the amplification for PGV is assumed to be the same as that for $T = 0.4s$; and (ii) the
292 amplification factor is assumed to return to unity at very long periods.

293

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296

297 *Table 1 – Factors to convert Japanese GMPEs to Cascadia GMPEs, for the same value of V_{s30} .*

Period (s)	Atkinson&Casey (2003)	Atkinson&Boore (2003)	Recommended Cascadia Multiplicative Factor (log units)
10			1.00 (0.000)
5			1.10 (0.040)
3		1.23	1.20 (0.079)
2	1.47	1.55	1.51 (0.179)
1	1.08	1.00	1.04 (0.017)
0.4	1.16	0.83	1.00 (0.000)
0.3			0.81 (-0.091)
0.2	0.71	0.50	0.60 (-0.222)
0.1	0.53	0.35	0.44 (-0.357)
0.04		0.35	0.44 (-0.357)
PGA		0.45	0.50 (-0.301)
PGV			1.00 (0.000)

298

299 The site correction factors of Table 1 should be applied to both inslab and interface GMPEs that are
300 based predominantly on Japanese data, in order to obtain corresponding GMPEs for Cascadia. We
301 determined that when this is done, the inslab GMPEs of AB03, Z06 and GA09 become very similar at
302 short periods (0.1s and PGA) – the adjustment for regional site conditions brings them into close
303 agreement. In the following discussion, we have removed regional site effects in our comparisons of
304 GMPEs and data.

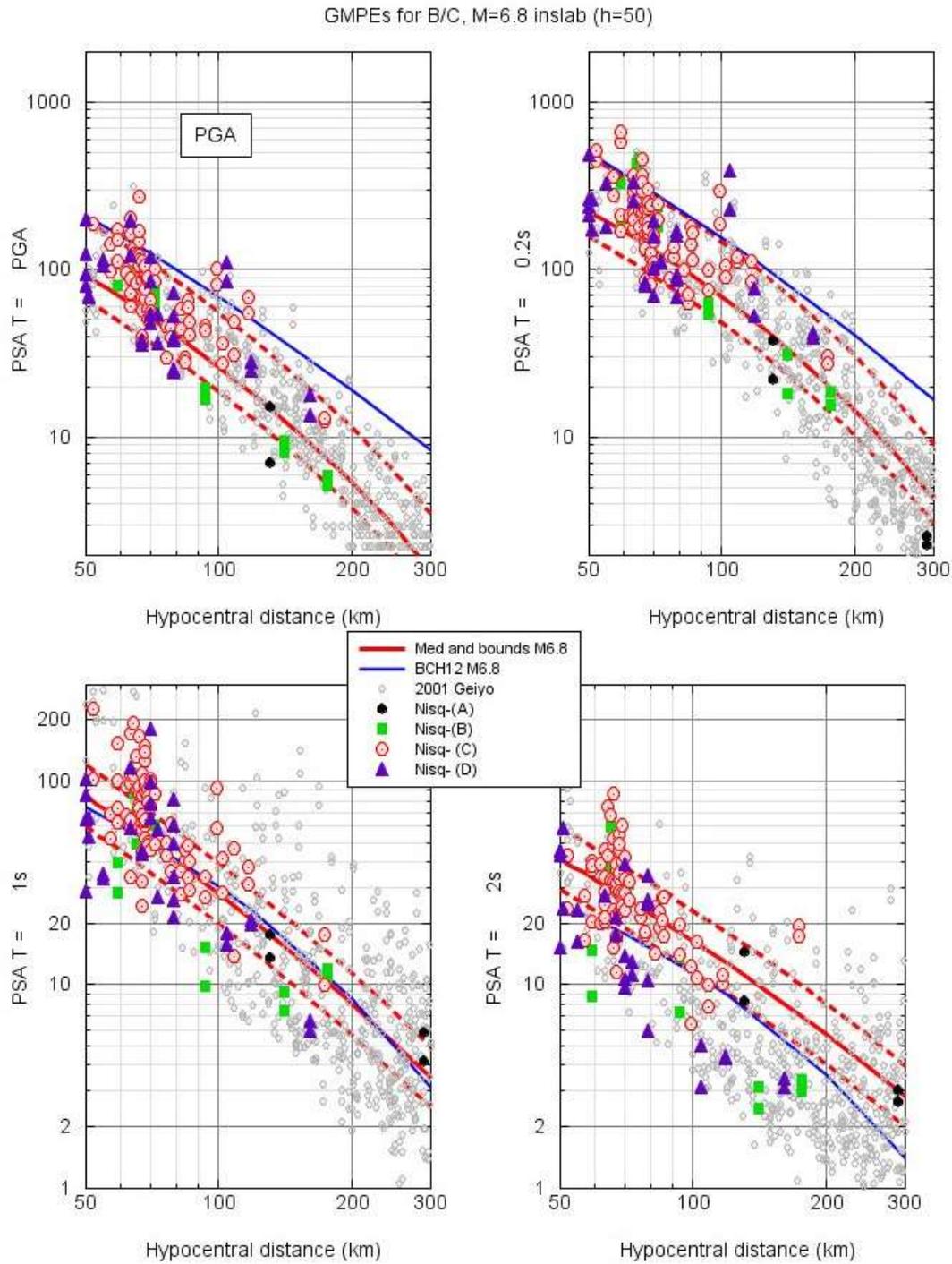
305 It is unclear whether regional site corrections should be applied to the Abrahamson et al. (2013) GMPEs,
306 as they included a broad mix of regions in their database. They looked at the issue of regionalization by
307 evaluating average event residuals by region, and considered these regional terms in the evaluation of
308 epistemic uncertainty. Overall, they did not report recommendations for region-based adjustments to

309 their global model. However, they noted that the Cascadia region had significantly low average
310 residuals at short periods relative to their global model; this finding is consistent with Table 1. We have
311 plotted the global result of Abrahamson et al. (2013) when showing their GMPE for comparison,
312 because they did not specifically recommend a modification for Cascadia. However, it may be noted
313 that if their average regional event terms for Cascadia were applied, their GMPE would be reduced by
314 about 0.17 log units at short periods (0.2 s to PGA).

315 We propose to use the Z06 GMPEs as the central GMPE, after adjustment for Cascadia site conditions,
316 with the other equations being used to guide the choice of an epistemic uncertainty band about it. We
317 assign an initial distance-independent uncertainty of 0.15 log units to represent lower and upper
318 equations. This uncertainty is about the same as for Cascadia crustal earthquakes on average, and less
319 than that proposed (below) for interface events. We modify the upper representative equation based on
320 consideration of relevant data, as described in the following.

321 We evaluate how well the proposed suite represents relevant ground-motion data in Figure 3. The
322 included data for the Cascadia region data are from the 2001 **M**6.8 Nisqually earthquake (in slab event,
323 depth=50km). These data, taken from Atkinson and Boore (2003), are adjusted to B/C site conditions,
324 using the conversions of Boore and Atkinson (2008) with an assumed V_{s30} of 450 m/s for C and 250
325 m/s for D. We supplement the Cascadia data by considering also data from the **M**6.8 Geiyo event in
326 Japan (also an in slab event at depth=50km), with the amplitudes adjusted to B/C conditions using the
327 factors in Table 1. Figure 3 shows the lower, central and upper GMPE equations proposed for in slab
328 events of **M**6.8 on B/C (at a focal depth of 50 km) in comparison to relevant data, and also to the
329 proposed central GMPE of Abrahamson et al. (2013). The upper equation of our proposed suite was
330 increased by a factor of 1.5 at periods ≤ 0.2 s (including PGA), because we noted that the data at short
331 periods tended to be larger than those predicted by our initial proposed suite. (Note: the proposed
332 multiplicative factor on the upper curve tapers from 1.5 to 1.0 as the period increases from 0.2s to 1s.)
333 The revised upper GMPE curve (after increase by the factor of 1.5) is in reasonable agreement with
334 Abrahamson et al. (2013). At intermediate periods (1 s) our central GMPE is very similar to that of
335 Abrahamson et al. (2013). At long periods our central GMPE is larger than that of Abrahamson et al.
336 (2013), but in reasonable agreement with the relevant data. In view of the data comparison in Figure 3,
337 the proposed weights for the lower, central and upper GMPE branches are 0.25, 0.5, 0.25 for periods ≥ 1 s,

338 respectively. For periods $\leq 0.2s$ (including PGA), the corresponding weights are 0.2, 0.4, 0.4, with a
 339 transition of weights taking place between 1 and 0.2s (e.g. for 0.5s use 0.25, 0.4, 0.35).



340

341 *Figure 3 –Proposed lower, central and upper inslab GMPEs (in cm/s^2) based on Zhao et al., 2006 (red*
 342 *lines) and central GMPE of Abrahamson et al., 2013 (blue line) for $M=6.8$ inslab events, in comparison*

343 *to data from M6.8 events in Cascadia (Nisqually) and Japan (Geiyo). GMPEs for B/C site condition; all*
344 *data adjusted to B/C as discussed in text.*

345

346 **Western subduction interface GMPEs**

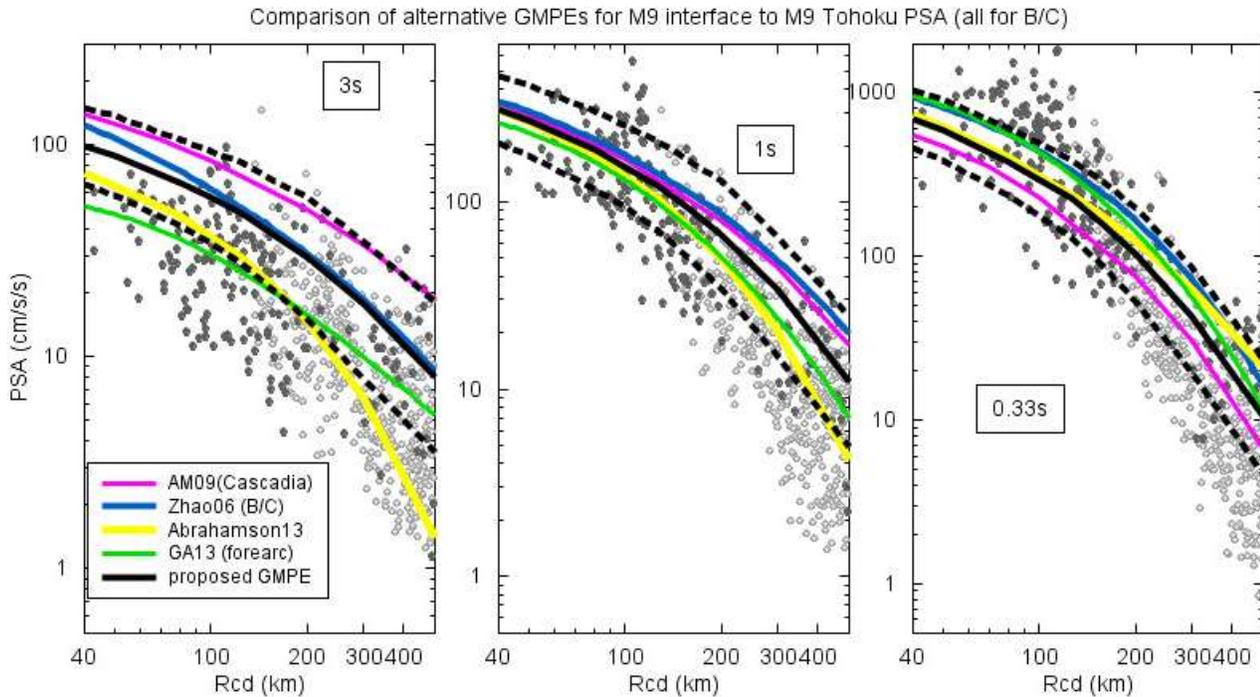
347

348 For interface events, both empirical and simulation-based GMPEs may be used to model the expected
349 Cascadia mega-thrust motions. Zhao et al. (2006), Abrahamson et al. (2013) and Ghofrani and Atkinson
350 (2013) provide empirical GMPEs for interface events, while Gregor et al. (2002) and Atkinson and
351 Macias (2009) both use a simulation-based model to derive GMPEs from stochastic finite-fault
352 simulations. The methodology used by Gregor et al. and Atkinson & Macias is similar, but the Atkinson
353 and Macias (2009) GMPE is calibrated based on larger, more recent interface events (the M8.1 Tokachi-
354 Oki event), and is developed for the reference condition of B/C boundary (the Gregor et al. equations are
355 given for “rock” or “soil”, but the specified rock Vs30 is only 363m/s, which is significantly softer than
356 B/C). The use of simulations is important for Cascadia subduction events due to the lack of recorded
357 data for the expected type of event (M>8.5 with Cascadia attenuation).

358

359 An important factor to consider in selecting GMPEs for great interface earthquakes in Cascadia is new
360 information from the 2011 M9 Tohoku earthquake, which was very well recorded. This is the type of
361 event, and approximate magnitude, expected for future great earthquakes on the Cascadia subduction
362 zone. The motions from Tohoku were very large, especially at short periods. This was partly due to
363 pronounced site response effects (Ghofrani et al., 2013), similar to those already discussed. Figure 4
364 compares the M9 Tohoku data, corrected to B/C site conditions (from Ghofrani and Atkinson, 2013) to
365 several candidate GMPEs (for B/C). The empirical GMPE of Ghofrani and Atkinson (2013) included
366 the Tohoku data directly in the regression, whereas the Abrahamson et al. (2013) GMPE used a global
367 database, then subsequently tuned the GMPE following the occurrence of the Tohoku event. It should
368 be kept in mind that the Tohoku data from distances >150 km are all from back-arc sites, which is why
369 the attenuation for Tohoku at larger distances is quite steep (see Ghofrani and Atkinson, 2011). In
370 Cascadia, a gentler attenuation is expected to apply for cities in southwestern B.C., which lie in the fore-
371 arc region. We have not proposed a back-arc correction for sites east of the Cascade volcanic sequence
372 in B.C., because no studies of this effect in B.C. have been performed, and it is not clear that the effect

373 in B.C. is as pronounced as that in Japan. Neglecting this potential effect is a source of conservatism in
 374 the ground motions estimated for a Cascadia event in the interior regions of B.C.



375
 376 *Figure 4 – Interface GMPEs in cm/s^2 for 3, 1 and 0.33 s for $M=9$, in comparison to data for the $M9$*
 377 *Tohoku PSA data (Ghofrani and Atkinson, 2013), all for $V_{s30}=760\text{m/s}$; dark symbols are forearc data,*
 378 *light circles are backarc data. Zhao et al. (2006)GMPE is corrected to B/C from C assuming C*
 379 *corresponds to $V_{s30}\sim 450\text{ m/s}$. Abrahamson et al. (2013) is plotted for fore-arc sites. AM09 (Atkinson*
 380 *and Macias, 2009) is based on simulations for Cascadia; the central GMPE, based on a weighted*
 381 *combination of the three candidate GMPEs as described in the text, is shown (heavy black line) along*
 382 *with lower and upper representative equations that display our estimate of its epistemic uncertainty*
 383 *(dashed black lines).*

384
 385 Figure 4 shows that the simulation-based GMPE of AM09 predicts significantly-higher motions at long
 386 periods, and lesser motions at short periods relative to the other equations and to the Tohoku data. We
 387 place significant weight on the AM09 equation as it is a simulation-based model that is specific to
 388 Cascadia site and attenuation conditions, but calibrated using Japanese ground-motion records. It agrees
 389 reasonably well with the Tohoku motions at intermediate periods, after considering the differences
 390 between fore-arc and back-arc attenuation. However, we note that the AM09 GMPE is conservative

391 relative to the Tohoku data and to the alternative GMPEs at long periods (3s plot in Fig. 4). We are not
392 certain whether the AM09 GMPE is indeed an over-estimate, or whether the Tohoku data may not have
393 been representative of a “typical” M9 interface event. The Tohoku event was a complex multiple event,
394 that was comprised of multiple ruptures, whose sum made up its total moment. We also note that the
395 AM09 GMPE tends to be low relative to the Abrahamson et al. (2013) and Ghofrani and Atkinson
396 (2013) GMPEs at short periods (≤ 0.2 s).

397

398 In view of these considerations, the preferred central GMPE for interface events is developed by taking
399 a weighted average of the candidate GMPE motions for forearc regions, giving 50% weight to the
400 simulation-based GMPE motions of Atkinson and Macias (2009), with the remaining weight to
401 empirical GMPEs. We give 20% weight to Abrahamson et al. (2013), 20% weight to Ghofrani and
402 Atkinson (2013), and a lesser weight of 10% to Zhao et al. (2006) (noting that the Zhao et al. model
403 does not consider the more recent Tohoku data). Because the Ghofrani and Atkinson (2013) and Zhao et
404 al. (2006) models were based exclusively on Japanese data, they were corrected to Cascadia site
405 conditions by applying the Japan-to-Cascadia factors as given in Table 1 before combining with the
406 Atkinson and Macias (2009) Cascadia model and the Abrahamson et al. (2013) global model. Note that
407 this is the central-model GMPE that is plotted in Figure 4 (i.e. as constructed from the weighted average
408 of the log amplitudes).

409

410

411

412 It is proposed that the uncertainty in GMPEs for interface events should grow with distance, as was the
413 case for the crustal GMPEs. However, the overall uncertainty should exceed that for crustal events. We
414 have no ground-motion information specific to Cascadia on the motions from this type of event, and
415 therefore the uncertainty must be larger than that for crustal events. We propose uncertainty bounds
416 with which to construct lower and upper GMPE curves (relative to the central GMPE of AM09) as:

417

$$418 \quad \text{delta (interface)} = \min((0.15+0.0007 Rcd), 0.35) \quad (\log_{10} \text{ units})$$

419

420 This will provide a factor of 2.8 in amplitude scaling from the lower to the upper GMPE, at 100 km
421 (growing to a factor of 5.2 at 300 km). The uncertainty will be 0.05 log units larger than that for crustal

422 events in the west. Recommended weights for the lower, central and upper GMPEs are 0.25, 0.5 and
423 0.25, respectively.

424

425 **Eastern GMPEs (crustal)**

426

427 The definition of appropriate GMPEs for eastern North America (ENA) is challenging due to the lack of
428 relevant data in the magnitude-distance range of most interest. We propose to use GMPEs of several
429 different types (differing classes of approaches) that have been developed for ENA within the last
430 decade, as an initial estimate of the epistemic uncertainty. The proposed GMPEs are summarized below
431 (in reverse chronological order of publication); we include only relations that are useable over the entire
432 magnitude/distance range of needed for seismic hazard map computations ($M_{4.8}$ to 8 at distances to 600
433 km).

434

435 *PZT11: Pezeshk, Zhandieh and Tavakoli, 2011*

436 The PZT11 GMPE is based on the hybrid empirical approach developed by Campbell (2003), but uses
437 an updated model for both the ENA parameters and the reference equations from western North
438 America (WNA). The idea is that a stochastic point-source model is used to derive adjustment factors
439 for WNA GMPEs, based on differences in model inputs between ENA and WNA. The parameter values
440 are simple and well-motivated.

441

442 The PZT11 GMPE is specified for hard-rock site conditions, so must be converted to B/C site
443 conditions. PZT11 used the Atkinson and Boore (2006) (AB06) values of amplification and kappa for
444 ENA hard rock (~2000m/s), and the corresponding values from Boore and Joyner (1997) for WNA rock
445 (~600m/s), in their model to derive correction factors from WNA to ENA. This follows the approach to
446 amplification factors used by AB06, and therefore we can use conversion factors from A to B/C based
447 on AB06 to predict the corresponding B/C motions for the PZT11 model (from their hard-rock GMPE
448 values).

449

450 Under this approach, constant values (in log₁₀ units) can be added to the hard-rock predictions (for
451 log₁₀(PSA)) of PZT11 to get equivalent predictions for B/C, as given in Table 2. The conversions were
452 derived by plotting the differences (in log₁₀ units) between the predictions of AB06 on B/C and those on

453 A, and noting they are insensitive to magnitude. The factors listed are for $M=6$, but would be only about
 454 0.02 units lower for $M=5$, or 0.02 units higher for $M=7$; this is trivial given other uncertainties. The
 455 factors are also insensitive to distance, except for very short periods ($<0.03s$) and PGA; a distance-
 456 dependent factor is given for PGA (which can also be used for 0.025s PSA). The distance variable in
 457 PZT11 is closest distance to the fault (R_{cd}).

458

459 *Table 2 – Conversion factors in log10 units from A to B/C site conditions for PZT11 GMPE*

PSA:period(s)	A to B/C
5	0.06
2	0.09
1	0.11
0.5	0.14
0.33	0.14
0.2	0.12
0.1	0.03
0.05	-0.1
PGV	0.09
PGA*	$-0.3+0.15\log(\text{Repi})$

460

461 * PGA value may also be used for PSA at $T \leq 0.025s$.

462

463 *AB06'*: Atkinson and Boore, 2006 (as revised in Atkinson and Boore, 2011)

464 The AB06' GMPE model is based on a stochastic finite-fault approach, which is a simulation approach
 465 that uses a seismological model, with key parameters calibrated based on ENA ground-motion data. It is
 466 one of a very small number of recent published ENA GMPEs that includes both a comprehensive model
 467 and a comprehensive comparison of the model against ENA data. Coefficients are provided for both
 468 B/C and hard-rock conditions, so we can use the B/C version directly. The equations were updated
 469 (Atkinson and Boore, 2011) to agree better with moderate-magnitude ground-motion amplitude data,
 470 and with WNA-scaling of motions with magnitude. The updated version is referred to as AB06'.

471

472 The distance variable in AB06' is R_{cd} . Care should be taken in converting to R_{cd} from hypocentral
 473 distance as the AB06 model does not build in distance-saturation effects, but instead relies on keeping

474 the fault a reasonable distance away (i.e. the assumption of a buried fault) to avoid this problem.
475 Atkinson and Boore (2011) recommend using a minimum depth to the top of the rupture (Z_{tor}) that
476 depends on magnitude, in order to place minimum constraints on the value of R_{cd} that is associated with
477 near-epicentre distances and hence ensure distance-saturation of near-fault amplitudes. These minimum
478 values for R_{cd} should be applied following the conversion, if necessary. (e.g. R_{cd} is the value given by
479 the conversion equations from R_{hypo} , but constrained such that $R_{\text{cd}}(\text{min}) = Z_{\text{tor}} = 21 - 2.5 \mathbf{M}$; in other
480 words, if the calculated value of R_{cd} is less than $(21-2.5\mathbf{M})$, we use $(21-2.5\mathbf{M})$ in its place.) Note this
481 minimum value decreases from $R_{\text{cd}}(\text{min})= 8.5 \text{ km}$ at $\mathbf{M}5$ to $R_{\text{cd}}(\text{min}) = 2.3 \text{ km}$ at $\mathbf{M}7.5$.

482

483 *A08': Atkinson, 2008 (as revised in Atkinson and Boore, 2011)*

484 The A08' GMPE is based on a referenced empirical approach, which is similar in concept to the hybrid
485 empirical approach, but uses ENA data directly to derive adjustment factors to WNA GMPEs. It is a
486 useful inclusion from the point of view of epistemic uncertainty as it suggests a smoother attenuation
487 function than do model-based approaches (like AB06' and PZT11). Coefficients are provided for B/C
488 conditions. The distance metric is closest distance to the surface projection of the rupture (R_{jb}). This
489 model was recently updated by Atkinson and Boore (2011) to use modified BA08' GMPEs for WNA
490 (see sections below) as the reference; these modifications account for recent moderate-magnitude
491 observations in both ENA and WNA. The modified version is referred to as A08'.

492

493 *SGD02S: Silva, Gregor and Daragh, 2002, Single-corner (variable stress)*

494 This GMPE has never been formally published (except on the authors' website) but has been very
495 widely used; it is recommended for consideration for this reason, as an 'industry-standard' stochastic
496 point-source model (in which stress drop decreases with magnitude to mimic WNA saturation effects).
497 It is given for hard-rock conditions, so must be converted to B/C; the conversion factors of Table 2 can
498 be used for this purpose, as the amplification model employed by the authors is very similar to that of
499 AB06. The distance variable is R_{jb} .

500

501 *SGD02D: Silva, Gregor and Daragh, 2002, Double-corner (with saturation)*

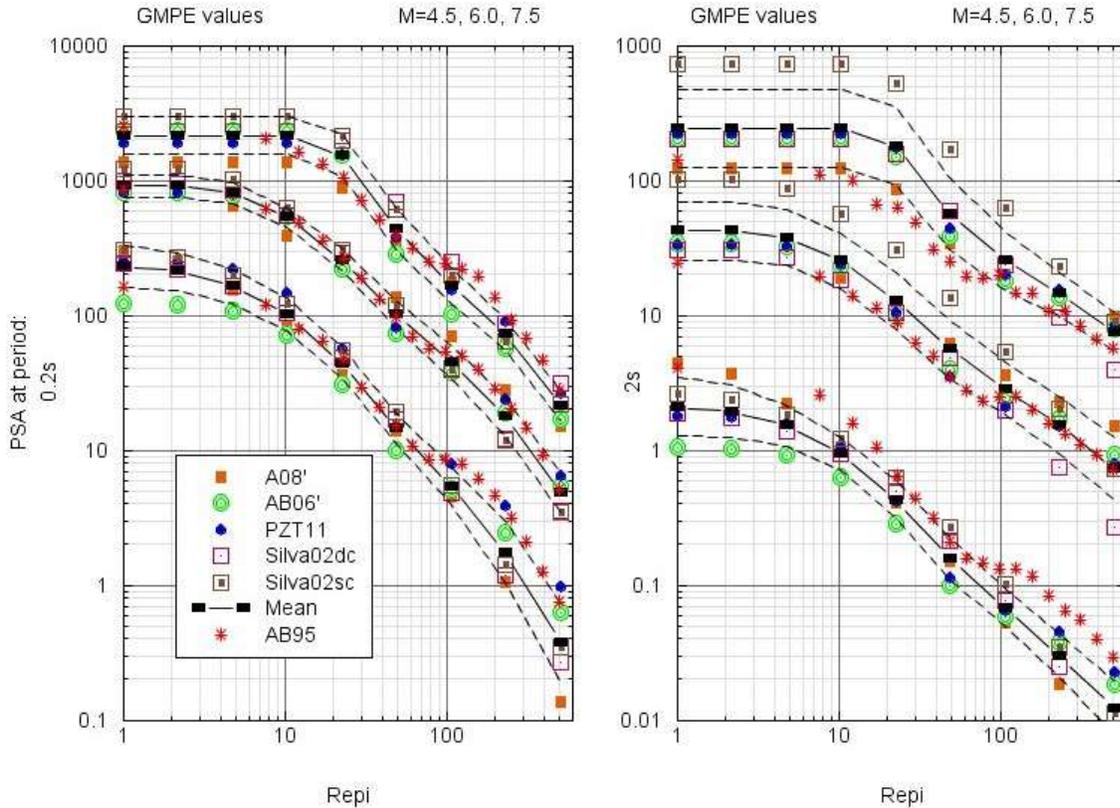
502 This is another variant of the SGD02 model, in which a double-corner stochastic point-source model is
503 used in the simulations, to consider epistemic uncertainty in source. As for the SGD02S model, it needs
504 to be converted to B/C, and the distance metric is R_{jb} .

505

506 We implement the five ENA GMPEs by defining a suite of three relationships for the ground motions,
507 for each magnitude-distance-period, that express the geometric mean and its standard deviation (where
508 the geometric mean is the arithmetic average of the five log values of the median ground motions from
509 the alternative relations). The mean and mean \pm one standard deviation define the central, lower and
510 upper curves. A conversion from the distance metric of each GMPE to epicentral distance is made using
511 a simple approximation, assuming ENA fault dimensions, as a point-source metric is required for the
512 hazard calculations (see Appendix A of Atkinson 2012 for details). We smooth the standard deviation
513 using a triangular 3-point weighted smoothing, to avoid “pinching” of the lower and upper bounding
514 relations at certain distances where the five estimates fortuitously happen to lie close together. The sets
515 of GMPEs are implemented in a table format in the hazard software, so that no “fitting” to the values is
516 required (the table in log PSA vs. log distance is interpolated to find the value corresponding to any **M**,
517 distance and period); the tables are available on the author’s website (www.seismotoolbox.ca).

518

519 Figure 5 shows the central GMPE from the five candidate relations, along with the corresponding lower
520 and upper GMPEs. The Atkinson and Boore (1995) equations, used in the NBCC (2005, 2010) maps,
521 are shown for reference (converted to B/C). The epistemic uncertainty obtained using this procedure
522 varies with magnitude, distance and period, with a typical average value being 0.17 log₁₀ units (factor of
523 1.5).



524

525 *Figure 5: PSA values in cm/s^2 at 0.2s and 2s (for $M=4.5, 6.0, 7.5$) for five ENA GMPEs versus*
 526 *epicentral distance, along with geometric mean values (black squares), proposed central GMPE (solid*
 527 *black line), and relations giving mean \pm standard deviation (dashed black lines). Red asterisks show*
 528 *values from the AB95 equations, which were used in the 2005, 2010 NBCC maps.*

529

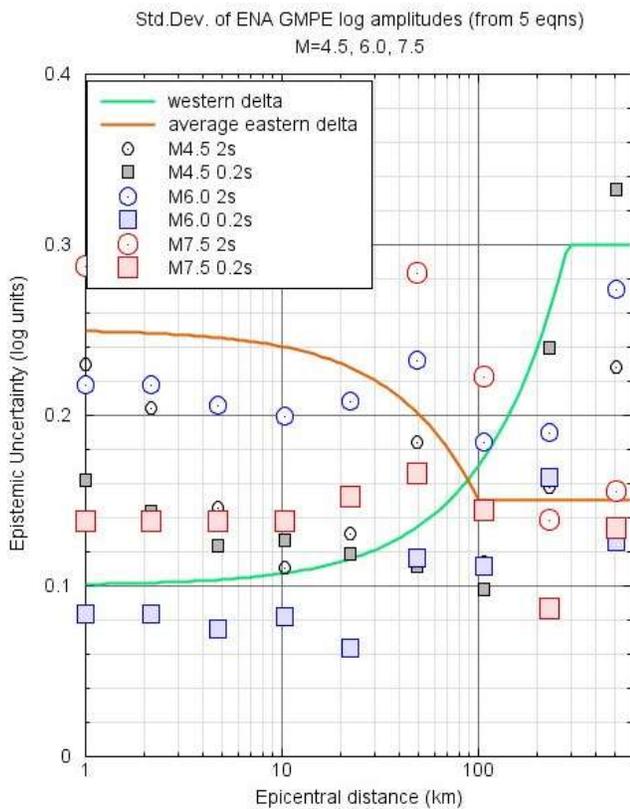
530 In Figure 6, the standard deviation of the median GMPE predictions for the east is plotted versus
 531 distance for M 4.5, 6.0 and 7.5, for 2s and 0.2s. Generally, the implied uncertainty from the standard
 532 deviation of the GMPEs is larger than the value adopted for western crustal GMPEs, but not always.
 533 Overall, the impression is that the eastern GMPEs should carry larger epistemic uncertainty, in
 534 comparison to the western crustal equations. Furthermore, in the east the ground motions are most
 535 constrained by data and studies at regional distances, and should be considered most uncertain at close
 536 distances, due to the paucity of relevant near-source observational data. This pattern of uncertainty
 537 behavior with distance is different than that for the west. This suggests that additional uncertainty
 538 should be provided, above that given by the standard deviation of log amplitudes about the central
 539 GMPE. We add an additional epistemic uncertainty to modify the GMPE+std and GMPE-std equations

540 for the east, having greatest effect at close distances. The uncertainty (log units to add to GMPE+std,
 541 and subtract from GMPE-std) is:

542 $\text{delta (ENA GMPE+std, GMPE-std)} = \max((0.1 - 0.001 R_{\text{epi}}), 0.0)$

543 This will increase the epistemic uncertainty above that given by the standard deviation of the geometric
 544 mean of the eastern GMPEs by 0.1 (factor of 1.26) at close distances, such that its typical value would
 545 be ~0.2 for short periods and 0.35 for long periods. It would leave the uncertainty unchanged for
 546 $R_{\text{epi}} > 100$ km. The average value (over all magnitudes and periods) is shown in Figure 6, in comparison
 547 to the western crustal uncertainty. The recommended weights for the lower, central and upper
 548 alternatives are 0.25, 0.5 and 0.25, respectively.

549



550

551 *Figure 6 – Standard deviation (in log units) of mean of 5 eastern GMPEs by magnitude and distance for*
 552 *2s and 0.2 Hz (symbols). Recommended eastern epistemic uncertainty adds 0.1 to these plotted values at*
 553 *close distances (reducing to no additional uncertainty for $R_{\text{epi}} > 100$ km). Green line shows recommended*
 554 *epistemic uncertainty for western crustal events. Orange line shows general behaviour of the eastern*
 555 *epistemic uncertainty.*

556

557 **Comparison of GMPEs across regions**

558

559 It is useful to compare the GMPEs to each other across regions. Figure 7 plots the response spectra, for
560 B/C conditions, for **M7** events at epicentral distances of approximately 10 and 100 km. This plot is
561 indicative of the size of events that contribute to hazard across a broad range of periods for typical
562 Canadian seismic hazard mapping applications. To facilitate comparisons, we have calculated the
563 weighted mean of the GMPE suite for each event type, which is what is shown in Figure 7. This shows
564 that the event types are all scaling in a similar way with period, though there are some significant
565 differences in amplitude levels. At short periods, eastern events show larger amplitudes than western
566 crustal events, as we would expect based on the observations for events in the east, which are typically
567 modeled by higher stress drops. The amplitudes expected for subduction interface events are generally
568 similar to those for crustal events, at least at the **M7** level. Inslab events have lower amplitudes at short
569 epicentral distances, because they are actually further away, due to their focal depths (~50 km);
570 however, at epicentral distances for which the focal depth effect is less important, the relatively-large
571 amplitudes for inslab events at short periods become more apparent.

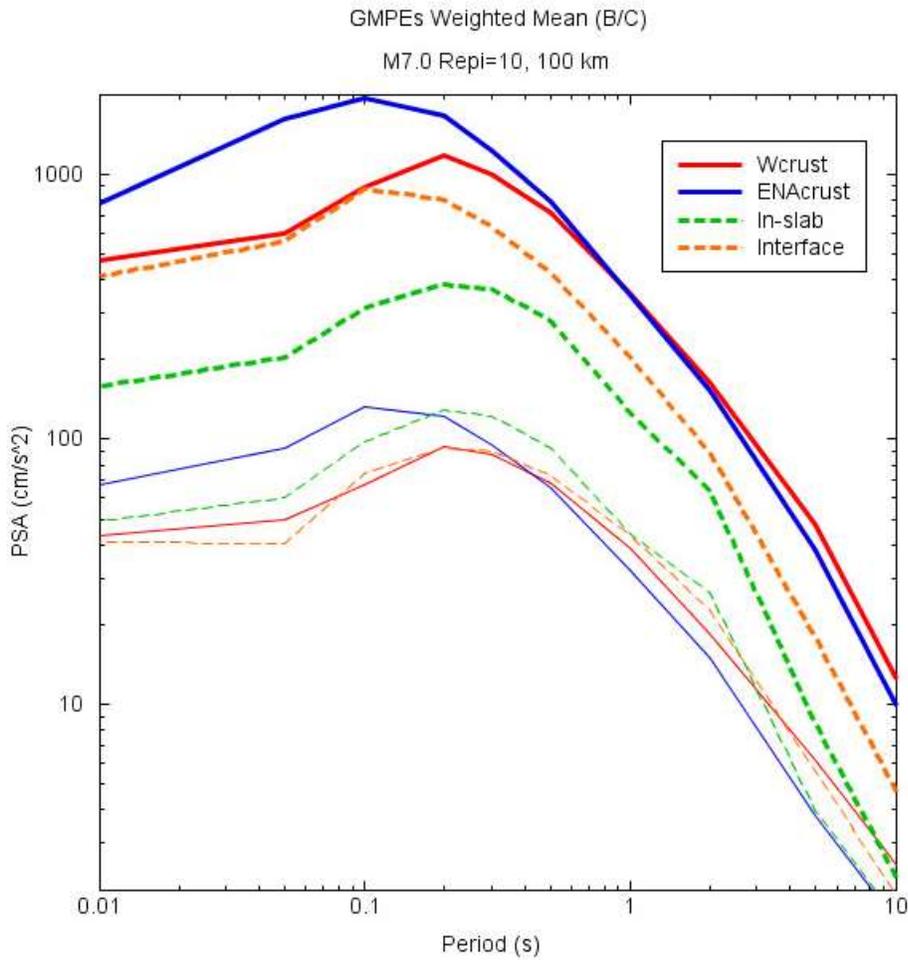
572

573 We examined plots such as those shown on Figure 7 for a range of magnitudes, but for brevity have
574 shown only one example here. Inspection of such plots gives us confidence that the GMPEs are
575 internally-consistent in the way they behave when compared across regions.

576

577

578



579
 580 *Figure 7 – Response spectrum in cm/s^2 for M7 events at epicentral distances of 10 (heavy lines), 100 km*
 581 *(light lines), for four different event types, for B/C conditions.*

582

583 **Aleatory Variability in GMPEs (Sigma)**

584

585 The value of sigma (aleatory variability, or random scatter of observations about a GMPE) to be
 586 associated with the GMPEs is an important parameter. Traditionally, sigma was assigned based on
 587 observed variability about the regression equation (statistics of misfit to the equations). More recently,
 588 it has been realized that this may not be the appropriate way to define sigma, as what we are trying to
 589 capture is natural variability in future events, as opposed to total variability in regression - which
 590 includes factors such as model misfits, variable soil conditions, data errors and so on. These factors all
 591 contribute to reported values for regression statistics, but are not representative of actual physical

592 variability. There is also potential for some double-counting of aleatory uncertainty when epistemic
593 uncertainty in the median equations is included in the hazard analysis. These issues have been discussed
594 in papers by Anderson and Brune (1999), Anderson et al. (2000), Abrahamson and Bommer (2005),
595 Atkinson (2006, 2011) and Strasser et al., (2009). Atkinson (2011) shows that actual variability in
596 amplitudes within well-recorded events is about $0.22 \log(10)$ units at long periods ($>1s$), decreasing to
597 about 0.20 units at short periods ($\leq 0.25s$). This includes just the within-event variability, and also
598 implicitly includes variability in site conditions for a given value of V_{s30} (due to differing soil depths,
599 etc.). Based on the PEER-NGA equations, typical inter-event variability values decrease from about
600 0.16 to 0.12 units over the same period range; note that the inter-event variability includes any regional
601 variability in source characteristics, in addition to actual event-to-event variability within a specific
602 region. Considering these values, representative values for a multi-site sigma would be about 0.27
603 $\log(10)$ units at long periods ($\geq 1s$), decreasing to 0.23 units at short periods ($\leq .25s$); note that single-
604 station sigma values (Atkinson, 2006, 2013) would be lower. The representative values are obtained
605 from the inter-event and intra-event components using the standard square-root-sum-of-squares rule. It
606 is proposed that these sigma values be applied to all event types and regions, as there is no definitive
607 evidence that sigma varies with region (see Atkinson, 2013), and sigma is best defined for western
608 crustal events. The proposed sigma values are slightly smaller than the corresponding range of 0.25
609 (short period) to 0.30 (long period) quoted by Boore and Atkinson (2008) based on their regression
610 results; this is in accord with our view that the assigned aleatory uncertainty should be less than
611 indicated by regression statistics to avoid double-counting of aleatory and epistemic uncertainty.

612

613 **Conclusion**

614

615 This study has suggested suites of lower, central and upper GMPEs for each type of event for use in
616 seismic hazard mapping in Canada. The use of the 3 sets of GMPEs is a simple and efficient way to
617 represent epistemic uncertainty in GMPEs. The implications of this approach, including comparisons
618 with more traditional approaches such as using a variety of alternative published GMPEs without
619 modification, are explored in separate investigations. To date, these investigations have shown that the
620 three-equation approach is equivalent to the use of multiple GMPEs, provided the same range of
621 epistemic uncertainty is sampled.

622

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624

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631

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